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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/786,413	02/25/2004 O P T E JUL 22 2004 PATENT & TRADEMARK OFFICE	Ducksoo Kim	HTC-003

David Prashker
 DAVID PRASHKER, P.C.
 P.O. Box 5387
 Magnolia, MA 01930

CONFIRMATION NO. 2801
FORMALITIES LETTER

 OC000000012762958

Date Mailed: 05/25/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 32B, 33A, 35B, 40B and 44. However, PTO received figures 32B and 33A but PTO did not receive figures 35B, 40B and 44 as described in the Specification..

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

07/23/2004 MAHMED1 00000053 10786413

- **\$65** Late oath or declaration Surcharge. 01 FC:2051 65.00 DP

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Led

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

JUL 22 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BY OFFICE 214
JULY 22 2004
Applicant : Ducksoo Kim
Serial No. : 10/786,413
Filed : February 25, 2004
For : "METHOD FOR SURGICALLY JOINING A VENTRICULAR ASSIST DEVICE TO THE CARDIOVASCULAR SYSTEM OF A LIVING SUBJECT USING A PIERCING INTRODUCER ASSEMBLY"
Examiner : unknown
Group Art Unit : 3764
Attorney's Docket No. : HTC-003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Mail Stop: Missing Parts, Alexandria, Virginia 22313-1450 on: July 20, 2004.

Attorney for applicant: David Prashker
Signature: Damodar Prashker
Date: July 20, 2004

REPLY TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION FILED UNDER
37 CFR 1.53(b), FILING DATE GRANTED

Commissioner for Patents
P.O. Box 1450
Mail Stop: Missing Parts
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Notice To File Missing Parts Of NonProvisional Application Filed Under 37 C.F.R. 1.53(b), Filing Date Granted as mailed May

25, 2004, please find enclosed the following documents for filing on behalf of applicants and for formal entry into the above-identified application:

1. A combined Declaration And Power Of Attorney which identifies the present application by the serial number and filing date affixed thereto and which is signed and dated by the sole named inventor. This signed Declaration And Power Of Attorney was signed by the sole named inventor only after first reviewing the original Specification now of record.
2. An Amendment Of Specification And Request For Entry Of Replacement Paragraph Pages.
3. A Clean Copy Version Of Amended Specification Submitted Pursuant To 37 C.F.R. 1.121(b)(1)(ii).
4. A Marked Up Version Of Amended Specification Submitted Pursuant To 37 C.F.R. 1.121(b)(1)(iii).
5. One copy of the Notice To File Missing Parts Of NonProvisional Application Filed Under 37 C.F.R. 1.53(b), Filing Date Granted as mailed May 25, 2004.

In addition, in view of the enclosed document and applicants' status as a Small Entity, a requisite surcharge fee payment is now also due in the amount of sixty five (\$65.00) dollars. Accordingly, please find enclosed another check in the amount of sixty five (\$65.00) dollars in complete payment of the surcharge fee [FY2004] now due. This additional payment of

surcharge fee by check is deemed to satisfy in full all the requisite fees now due for the above-identified application.

Respectfully submitted,

DUCKSOO KIM

Date: July 20, 2004
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By: David Prashker
David Prashker
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